

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|---------------------------|---|-------------------|
| APPLICANT: Brod, S. | § | ART UNIT: 1761 |
| | § | |
| FILED: October 8, 1997 | § | |
| | § | |
| SERIAL NO.: 08/946,710 | § | EXAMINER: |
| | § | Sayala, C. |
| | § | |
| FOR: Methods of Treating | § | DOCKET: D5716CIP4 |
| Autoimmune Diseases Using | § | |
| Type One Interferons | § | |

Assistant Commissioner of Patents
BOX AF
Washington, D.C. 20231

**COMPLIANCE OF REQUIREMENTS FOR PATENT APPLICATIONS
CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCE
DISCLOSURES**

Dear Sir:

Applicant provides a computer readable form of the Sequence Listing on the enclosed 3.5 inch disk and a paper copy thereof for the above-referenced application. The disk is a 1.44 mb Macintosh-formatted disk. The file is stored as D5716CIP4/SEQ in text format. I hereby state that the content of the paper copy of the Sequence Listing filed as part of the above-captioned application and the enclosed computer readable copy of the Sequence Listing are the same.

Respectfully submitted,

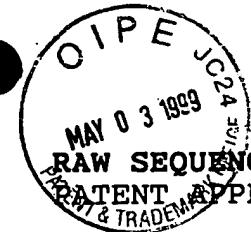
Date: Apr 29, 1999



Benjamin Aaron Adler, Ph.D.,J.D.
Counsel for Applicant
Registration No. 35,423

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8011 Candle Lane
Houston, Texas 77071
(713) 777-2321
BAADLER@flash.net

PAGE: 1

RAW SEQUENCE LISTING
PATENT APPLICATION US/08/946,710DATE: 10/02/98
TIME: 09:06:53

Input Set: H946710.RAW

This Raw Listing contains the General Information Section and those Sequences containing ERRORS.

Does Not Comply
Corrected Diskette Needed

new format

- 1 <110> Brod, Staley A.
- 2 <120> Methods of Treating Auto-Immune Diseases Using Type One Interferons
- 3 <130> D5716CIP4
- 4 <140> US/08/946,710
- 5 <141> 1997-10-08
- 6 <150> US 08/226,631
- 7 <151> 1994-04-12
- 8 <160> 6

ERRORED SEQUENCES FOLLOW

E--> 9 <210> 1
E--> 10 <211> 20 *21 shown*
11 <212> DNA
12 <213> artificial sequence
13 <220>
14 <221> primer_bind
15 <222>
16 <223> synthesized
17 <400> 1

E--> 18 gtggaggcagg acctggccctg
gtggaggcagg acctggccctg

(20) 21

E--> 19 <210> 5
E--> 20 <211> 21 *20 shown*
21 <212> DNA
22 <213> artificial sequence
23 <220>
24 <221> primer_bind
25 <222>
26 <223> synthesized
27 <400> 5

E--> 28 accagatcc ctctggtgct g
accagatcc ctctggtgct g

(21) 20

29 <210> 6
30 <211> 25
31 <212> DNA
32 <213> artificial sequence
33 <220>
34 <221> primer_bind
35 <222>
36 <223> synthesized
37 <400> 6

APPLICANT'S COPY

Please review the

Sequence Listing to ensure that a corresponding explanation is presented in the "Other Information" element of each sequence which presents at least one n or Xaa.

PAGE: 2

RAW SEQUENCE LISTING
PATENT APPLICATION US/08/946,710DATE: 10/02/98
TIME: 09:06:53

Input Set: H946710.RAW

38

ccgtccctac tacaagacct ctcgg

25

E-->

39

W-->

40

E-->

41

W-->

42

E-->

43

W-->

44

E-->

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84

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85

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86

E-->

87

delete these sections at end of file

PAGE: 3

RAW SEQUENCE LISTING
PATENT APPLICATION US/08/946,710DATE: 10/02/98
TIME: 09:06:53

Input Set: H946710.RAW

W--> 88
E--> 89 p _____ (_ 3 _ N _ O _ W _ j _
W--> 90
E--> 91 _____
W--> 92
E--> 93 _____ \$ _____ x _____
W--> 94
E--> 95 _____ \$ _ x _____
W--> 96
E--> 97 p _____
W--> 98
E--> 99 _____
W--> 100
E--> 101 _____
W--> 102 _____
E--> 103 _____
W--> 104 _____
E--> 105 _____
W--> 106 _____ x _____ \$ _____ + _ 0 _ P _ P _ / _ = ! _ " _ # _ \$ _ % _
E--> 107 _____
W--> 108
E--> 109 d _ vgrd _____ (_____ Times _____
110
E--> 111 _____
E--> 112 _____ + W30IN THE UNITED STATES PATENT AND TRADEMARK OFFICE_(_ M < _APPLICAN
W--> 113
E--> 114 _ 1997 _ f _ *
W--> 115
E--> 116 _ _) \$ EXAMINER: _ (_ < _ SERIAL _) 3 _ NO.: _ _) _ 08/946710 _) _ _) \$ _ Sa _ [_
W--> 117
E--> 118 n _ t _____ 6 _ B @ _ 6 _ B _ o _ d _ y _ T _ e _ x _ t _____ x _ OJ _ QJ _ kH _ ' _ G _
W--> 119
E--> 120 _____
W--> 121 _____
E--> 122 ! _____ @ _____ F _ o _ o _ t _ e _ r _____
W--> 123 _____
E--> 124 _____
W--> 125 _____
E--> 126 ! _____ & _) @ _ ! _ & _____ P _ a _ g _ e _ N _ u _ m _ b _ e _ r _____ & _ (@ _ 1 _ & _____ L _ i _ n _ - N _ u _
W--> 127 _____ 5 _____
E--> 128 _____
W--> 129 _____
E--> 130 _____) _ 4 _ z _ d _ e _ p _____
W--> 131 _____
E--> 132 _____
W--> 133 _____
E--> 134 _ I _ L _ E _ S _ : _ 5 _ 7 _ 1 _ 6 _ C _ I _ P _ 3 _ : _ 5 _ 7 _ 1 _ 6 _ C _ I _ P _ 3 _ / _ n _ e _ w _ / _ S _ E _ Q _ L _ I _ S _ T _ H _ e _ i
W--> 135 _____
E--> 136 Q _ L _ I _ S _ T _ H _ e _ i _ d _ i _ S _ t _ r _ u _ s _ e _ 8 _ H _ E _ I _ D _ I _ : _ F _ I _ L _ E _ S _ : _ H _ E _ I _ D _ I _ ' _ S _
W--> 137 _____

PAGE: 4

RAW SEQUENCE LISTING
PATENT APPLICATION US/08/946,710DATE: 10/02/98
TIME: 09:06:53

Input Set: H946710.RAW

delete

E--> 138 S:_H_E_I_D_I'_S_ _F_I_L_E_S:_5_7_1_6_C_I_P_2/_C_P_A:_5_7_1_6_C_I_P_3/_
 W--> 139 I_P_2/_C_P_A:_5_7_1_6_C_I_P_3/_C_P_A/_n_e_w/_S_E_Q_ _L_I_S_T_H_e_i_d_i_
 E--> 140 _e_w/_S_E_Q_ _L_I_S_T_H_e_i_d_i_ _S_t_r_u_s_e@H_E_I_D_I:_F_I_L_E_S:_H_E
 W--> 141 u_s_e_8_H_E_I_D_I:_F_I_L_E_S:_H_E_I_D_I'_S_ _F_I_L_E_S:_5_7_1_6_C_I_P_4:_
 W--> 142 _5_7_1_6_C_I_P_4:_5_7_1_6_C_I_P_4/_n_e_w/_S_E_Q_ _L_I_S_T_H_e_i_d_i_ _S_t
 E--> 143 G _____ T_i_m_e_s_ _N_e_w_ _R_o_m_a_n_
 W--> 144 C_o_u_r_i_e_r_3 _____
 E--> 145 _____ ! _____
 W--> 146 _____ 2 _____ (_1_) _I_N_
 E--> 147 P_o_w_e_r_M_a_c_ _U_s_e_r_H_e_i_d_i_ _S_t_r_u_s_e _____ perf
 W--> 148 HelperfingerHelperftp
 E--> 149 Helpergopher_HelperhttpHelperhttps
 W--> 150 Helpermailto_Helpernetphone_Helpernews_Helperntp
 E--> 151 Helpertelnet
 W--> 152 Helpertn3270_HelperwaisHelperwhois_Helperx-netp _____
 E--> 153 _____
 W--> 154 h _____ +' _0 _____
 E--> 155 _____ | _____ ' _____ ! ___(1)_INFORMATION FOR SE
 W--> 156 _____ Heidi Struse_N _____ 2_id _____ Microsoft Word 8.0_
 E--> 157 _____
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 W--> 164 _____
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 W--> 174 h _____ +' _0 _____
 E--> 175 _____
 W--> 176 _____ | _____ ' _____ ! ___(1)_INFORMATION FOR SE
 E--> 177 _____ Heidi Struse_N _____ 2_id _____ Microsoft Word 8.0_
 W--> 178 _____
 E--> 179 _____
 W--> 180 _____
 E--> 181 _____
 W--> 182 _____
 E--> 183 _____
 W--> 184 _____
 E--> 185 _____
 W--> 186 _____
 E--> 187 _____

PAGE: 5

RAW SEQUENCE LISTING
PATENT APPLICATION US/08/946,710

DATE: 10/02/98
TIME: 09:06:53

-Input Set: H946710.RAW

E--> 188
W--> 189
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W--> 235
E--> 236
W--> 237

PAGE: 6

**RAW SEQUENCE LISTING
PATENT APPLICATION US/08/946,710**DATE: 10/02/98
TIME: 09:06:53

Input Set: H946710.RAW

delete

E--> 238
W--> 239
E--> 240
W--> 241
E--> 242
W--> 243
E--> 244
W--> 245
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W--> 255
E--> 256
W--> 257
E--> 258
W--> 259
E--> 260
W--> 261
E--> 262
W--> 263
E--> 264 R_o_t_E_
W--> 265
E--> 266 b_l_e _____ - _____ 1 _____
W--> 267
E--> 268 S_u_m_m_a_r_y_I_n_f_o_r_
W--> 269
E--> 270 u_m_m_a_r_y_I_n_f_o_r_m_a_t_i_o_n_8_*_H_8 c_H_0c < U_
W--> 271
E--> 272
W--> 273
E--> 274
W--> 275
E--> 276
W--> 277
E--> 278
W--> 279
E--> 280 F Microsoft Word
W--> 281
E--> 282
W--> 283
E--> 284

Input Set: H946710.RAW

Line ? Error/Warning

Original Text

10 E Input 20, Calc# Bases 21 differ
 18 E Number of Bases conflict w/ Running Total gtggaggcagg acctggccctg 20
 20 E Input 21, Calc# Bases 20 differ <211> 21
 28 E Number of Bases conflict w/ Running Total accagatcc ctctggtgct g 21
 39 E Wrong Amino Acid Designator
 40 W Invalid/Missing Amino Acid Numbering
 41 E Wrong Amino Acid Designator
 42 W Invalid/Missing Amino Acid Numbering
 43 E Wrong Amino Acid Designator
 44 W Invalid/Missing Amino Acid Numbering
 45 E Wrong Amino Acid Designator
 46 W Invalid/Missing Amino Acid Numbering
 47 E Wrong Amino Acid Designator
 48 W Invalid/Missing Amino Acid Numbering
 49 E Wrong Amino Acid Designator
 50 W Invalid/Missing Amino Acid Numbering
 51 E Wrong Nucleic Acid Designator
 52 W Invalid/Missing Amino Acid Numbering
 53 E Wrong Amino Acid Designator
 54 W Invalid/Missing Amino Acid Numbering
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 56 W Invalid/Missing Amino Acid Numbering
 57 E Wrong Amino Acid Designator
 58 W Invalid/Missing Amino Acid Numbering
 59 E Wrong Amino Acid Designator
 60 W Invalid/Missing Amino Acid Numbering
 61 E Wrong Amino Acid Designator
 62 W Invalid/Missing Amino Acid Numbering
 63 E Wrong Nucleic Acid Designator
 64 W Invalid/Missing Amino Acid Numbering
 65 E Wrong Amino Acid Designator
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 66 W Invalid/Missing Amino Acid Numbering
 67 E Wrong Amino Acid Designator
 68 W Invalid/Missing Amino Acid Numbering
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 79 E Wrong Amino Acid Designator
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 80 W Invalid/Missing Amino Acid Numbering
 81 E Wrong Amino Acid Designator
 82 W Invalid/Missing Amino Acid Numbering

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 _____ p _____ \$ _____
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 l _____ f _____ y _____
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 _____ p _____ \$ _____ x _____
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 _____ p _____ f _____ y _____

Input Set: H946710.RAW

Line ? Error/Warning

Original Text

Input Set: H946710.RAW

Input Set: H946710.RAW

| Line | Error/Warning | Original Text |
|------|--|---|
| 137 | W Invalid/Missing Amino Acid Numbering | S : H E I D I ' S _ F I L E S : 5 7 1 6 |
| 138 | E Wrong Amino Acid Designator | S : H E I D I ' S _ F I L E S : 5 7 1 6 |
| 138 | E Wrong Amino Acid Designator | S : H E I D I ' S _ F I L E S : 5 7 1 6 |
| 138 | E Wrong Amino Acid Designator | S : H E I D I ' S _ F I L E S : 5 7 1 6 |
| 138 | E Wrong Amino Acid Designator | S : H E I D I ' S _ F I L E S : 5 7 1 6 |
| 138 | E Wrong Amino Acid Designator | S : H E I D I ' S _ F I L E S : 5 7 1 6 |
| 139 | W Invalid/Missing Amino Acid Numbering | I_P_2 / C_P_A : 5 7 1 6 C_I_P_3 / C_P_A / |
| 140 | E Wrong Amino Acid Designator | I_P_2 / C_P_A : 5 7 1 6 C_I_P_3 / C_P_A / |
| 140 | E Wrong Amino Acid Designator | I_P_2 / C_P_A : 5 7 1 6 C_I_P_3 / C_P_A / |
| 140 | E Wrong Amino Acid Designator | I_P_2 / C_P_A : 5 7 1 6 C_I_P_3 / C_P_A / |
| 141 | W Invalid/Missing Amino Acid Numbering | e_w / S_E_Q _L_I_S_T_H_e_i_d_i _S_t_r |
| 142 | E Wrong Amino Acid Designator | e_w / S_E_Q _L_I_S_T_H_e_i_d_i _S_t_r |
| 142 | E Wrong Amino Acid Designator | e_w / S_E_Q _L_I_S_T_H_e_i_d_i _S_t_r |
| 142 | E Wrong Amino Acid Designator | e_w / S_E_Q _L_I_S_T_H_e_i_d_i _S_t_r |
| 142 | E Wrong Amino Acid Designator | e_w / S_E_Q _L_I_S_T_H_e_i_d_i _S_t_r |
| 142 | E Wrong Amino Acid Designator | e_w / S_E_Q _L_I_S_T_H_e_i_d_i _S_t_r |
| 142 | E Wrong Amino Acid Designator | e_w / S_E_Q _L_I_S_T_H_e_i_d_i _S_t_r |
| 143 | W Invalid/Missing Amino Acid Numbering | u_s_e_8 H_E_I_D_I : F_I_L_E_S : H_E_I_D_I |
| 144 | E Wrong Amino Acid Designator | u_s_e_8 H_E_I_D_I : F_I_L_E_S : H_E_I_D_I |
| 144 | E Wrong Amino Acid Designator | u_s_e_8 H_E_I_D_I : F_I_L_E_S : H_E_I_D_I |
| 144 | E Wrong Amino Acid Designator | u_s_e_8 H_E_I_D_I : F_I_L_E_S : H_E_I_D_I |
| 144 | E Wrong Amino Acid Designator | u_s_e_8 H_E_I_D_I : F_I_L_E_S : H_E_I_D_I |
| 145 | W Invalid/Missing Amino Acid Numbering | 5 7 1 6 C_I_P_4 : 5 7 1 6 C_I_P_4 / n_e |
| 146 | E Wrong Nucleic Acid Designator | 5 7 1 6 C_I_P_4 : 5 7 1 6 C_I_P_4 / n_e |
| 146 | E Wrong Nucleic Acid Designator | 5 7 1 6 C_I_P_4 : 5 7 1 6 C_I_P_4 / n_e |
| 146 | E Wrong Nucleic Acid Designator | 5 7 1 6 C_I_P_4 : 5 7 1 6 C_I_P_4 / n_e |
| 146 | E Wrong Nucleic Acid Designator | 5 7 1 6 C_I_P_4 : 5 7 1 6 C_I_P_4 / n_e |
| 147 | W Invalid/Missing Amino Acid Numbering | G |
| 148 | E Wrong Amino Acid Designator | G |
| 148 | E Wrong Amino Acid Designator | G |
| 148 | E Wrong Amino Acid Designator | C_o_u_r_i_e_r_3 |
| 149 | W Invalid/Missing Amino Acid Numbering | C_o_u_r_i_e_r_3 |
| 150 | E Wrong Amino Acid Designator | ! |
| 150 | E Wrong Amino Acid Designator | _____ |
| 151 | W Invalid/Missing Amino Acid Numbering | _____ |
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| 157 | W Invalid/Missing Amino Acid Numbering | _____ |
| 158 | E Wrong Amino Acid Designator | P_o_w_e_r_M_a_c _ U_s_e_r _H_e_i_d_i _S |
| 158 | E Wrong Amino Acid Designator | P_o_w_e_r_M_a_c _ U_s_e_r _H_e_i_d_i _S |
| 158 | E Wrong Amino Acid Designator | P_o_w_e_r_M_a_c _ U_s_e_r _H_e_i_d_i _S |

Input Set: H946710.RAW

| Line | ? Error/Warning | Original Text |
|------|--|---|
| 159 | W Invalid/Missing Amino Acid Numbering | |
| 160 | E Wrong Amino Acid Designator | HelperfingerHelperftp |
| 161 | W Invalid/Missing Amino Acid Numbering | Helpergopher_HelperhttpHelperhttps |
| 162 | E Wrong Amino Acid Designator | Helpermailto_Helpernetphone_Helpernews_He |
| 163 | W Invalid/Missing Amino Acid Numbering | Helpertelnet |
| 164 | E Wrong Amino Acid Designator | Helpertn3270_HelperwaisHelperwhois_Helper |
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| 166 | E Wrong Amino Acid Designator | |
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| 196 | E Wrong Amino Acid Designator | |
| 197 | W Invalid/Missing Amino Acid Numbering | |

Input Set: H946710.RAW

| Line ? Error/Warning | Original Text |
|--|----------------------------------|
| 198 E Wrong Amino Acid Designator | |
| 199 W Invalid/Missing Amino Acid Numbering | |
| 200 E Wrong Amino Acid Designator | |
| 201 W Invalid/Missing Amino Acid Numbering | |
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| 218 E Wrong Amino Acid Designator | McGregor & |
| 218 E Wrong Amino Acid Designator | McGregor & |
| 219 W Invalid/Missing Amino Acid Numbering | McGregor & |
| 220 E Wrong Amino Acid Designator | (1) INFORMATION FOR SEQ ID NO: 1 |
| 220 E Wrong Amino Acid Designator | (1) INFORMATION FOR SEQ ID NO: 1 |
| 220 E Wrong Amino Acid Designator | (1) INFORMATION FOR SEQ ID NO: 1 |
| 220 E Wrong Amino Acid Designator | (1) INFORMATION FOR SEQ ID NO: 1 |
| 220 E Wrong Amino Acid Designator | (1) INFORMATION FOR SEQ ID NO: 1 |
| 220 E Wrong Amino Acid Designator | (1) INFORMATION FOR SEQ ID NO: 1 |
| 220 E Wrong Amino Acid Designator | (1) INFORMATION FOR SEQ ID NO: 1 |
| 221 W Invalid/Missing Amino Acid Numbering | (1) INFORMATION FOR SEQ ID NO: 1 |
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| 230 E Wrong Amino Acid Designator | |
| 231 W Invalid/Missing Amino Acid Numbering | |
| 232 E Wrong Amino Acid Designator | |
| 233 W Invalid/Missing Amino Acid Numbering | |

Input Set: H946710.RAW

Line ? Error/Warning

Original Text

234 E Wrong Amino Acid Designator
235 W Invalid/Missing Amino Acid Numbering
236 E Wrong Amino Acid Designator
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239 W Invalid/Missing Amino Acid Numbering
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254 E Wrong Amino Acid Designator
255 W Invalid/Missing Amino Acid Numbering
256 E Wrong Amino Acid Designator
256 E Wrong Amino Acid Designator
257 W Invalid/Missing Amino Acid Numbering
258 E Wrong Amino Acid Designator
259 W Invalid/Missing Amino Acid Numbering
260 E Wrong Amino Acid Designator
260 E Wrong Amino Acid Designator
261 W Invalid/Missing Amino Acid Numbering
262 E Wrong Amino Acid Designator
263 W Invalid/Missing Amino Acid Numbering
264 E Wrong Amino Acid Designator
264 E Wrong Amino Acid Designator
264 E Wrong Amino Acid Designator
265 W Invalid/Missing Amino Acid Numbering
266 E Wrong Amino Acid Designator
267 W Invalid/Missing Amino Acid Numbering
268 E Wrong Amino Acid Designator
269 W Invalid/Missing Amino Acid Numbering
270 E Wrong Nucleic Acid Designator
270 E Wrong Nucleic Acid Designator
271 W Invalid/Missing Amino Acid Numbering
272 E Wrong Amino Acid Designator
272 E Wrong Amino Acid Designator
273 W Invalid/Missing Amino Acid Numbering
274 E Wrong Amino Acid Designator
275 W Invalid/Missing Amino Acid Numbering
276 E Wrong Amino Acid Designator
277 W Invalid/Missing Amino Acid Numbering

b_l_e

u_m_m_a_r_y_I_n_f_o_r_m_a_t_i_o_n_8_*_H
u_m_m_a_r_y_I_n_f_o_r_m_a_t_i_o_n_8_*_HX O_b
X O_b

Input Set: H946710.RAW

| Line ? Error/Warning | Original Text |
|--|---------------|
| 278 E Wrong Amino Acid Designator | |
| 279 W Invalid/Missing Amino Acid Numbering | |
| 280 E Wrong Amino Acid Designator | F M |
| 280 E Wrong Amino Acid Designator | F M |
| 280 E Wrong Amino Acid Designator | F M |
| 280 E Wrong Amino Acid Designator | F M |
| 281 W Invalid/Missing Amino Acid Numbering | |
| 282 E Wrong Amino Acid Designator | |
| 283 W Invalid/Missing Amino Acid Numbering | |
| 284 E Wrong Amino Acid Designator | |